Format for Compliance Report on Corporate Governance to be submitted by a listed entity on a quarterly basis

1. Name of the Listed Entity: Small Industries Development Bank of India ('SIDBI')
2. Quarter ending: September 30, 2026

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN[$] & DIN	Category (Chairperson/ Executive/ Non-Executive/ independent / & Nominee)[&]	Initial Date of Appointment	Date of Reappoint-ment	Date of Cessation	Tenure * (in Months)	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] **	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of the LODR Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of the LODR Regulations)
Mr.	Manoj Mittal	ABMPM4675N / 01400076	Chairperson / Executive / Chairman & Managing Director (CMD)	27/07/2024	-	-	NA	28/10/1967	1	0	0	0
Mr.	Prakash Kumar	AKYPK9905A / 06758416	Executive / Deputy Managing Director	07/11/2023	-	-	NA	28/09/1968	1	0	3	0
Dr.	Rajneesh	ACFPR1276G / 07781942	Non-Ex / Independent / Nominee	22/02/2023	-	-	44	23/11/1973	1	1	1	0
Mr.	Manoj Muttathil Ayyappan	AGXPM3758Q / 10733238	Non-Ex / Independent / Nominee	06/08/2024	-	-	26	03/10/1974	2	2	2	0
Mr.	Gopal Jha	ABYPJ3347A / 11362455	Non-Ex / Independent / Nominee	23/10/2025	-	-	11	02/02/1970	1	1	2	0
Mr.	Laxmi Chand Meena	AIRPM1572G /	Non-Ex / Independent / Nominee	28/10/2024	-	-	23	02/10/1964	1	1	2	0

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN[$] & DIN	Category (Chairperson/ Executive/ Non-Executive/ independent / & Nominee)[&]	Initial Date of Appointment	Date of Reappoint-ment	Date of Cessation	Tenure * (in Months)	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] **	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of the LODR Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of the LODR Regulations)
		10728812										
Dr.	K S Mahesh	ABUPK6307P / 09170623	Non-Ex / Independent / Nominee	05/12/2025	-	-	10	03/07/1969	1	1	2	0
Dr.	Ramesh Kumar	ABLPK0508P / 11892917	Non-Ex / Independent / Nominee	12/08/2026	-	-	2	02/03/1967	1	1	0	0
Mr.	Amit Tandon	AADPT7741C / 01602336	Non-Ex / Independent	08/08/2021	08/08/2024	-	63	25/10/1960	1	1	0	0
Mr.	Jitender Kalra	AIKPK5646G / 08722638	Non-Ex / Independent	13/02/2024	-	-	32	28/12/1966	1	1	0	0
Mr.	Pallatt Joseph Thomas	AABPT3848G / 10332033	Non-Ex / Independent	15/11/2024	-	-	23	02/01/1959	1	1	1	1
Ms.	Padmaja Shailen Ruparel	ADCPR4294G / 01383513	Non-Ex / Independent	14/03/2025	-	-	19	16/05/1962	3	3	2	5

Whether Regular chairperson appointed – Yes. As per the SIDBI Act 1989, CMD has been appointed by the Government of India (Department of Financial Services {DFS}, Ministry of Finance {MoF}).

I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN[$] & DIN	Category (Chairperson/ Executive/ Non-Executive/ independent / & Nominee)[&]	Initial Date of Appointment	Date of Reappoint-ment	Date of Cessation	Tenure * (in Months)	Date of Birth	No. Of directorship in listed entities including this listed entity [in reference to Regulation 17A(1)] **	No of Independent Directorship in listed entities including this listed entity [in reference to proviso to regulation 17A(1)] & 17A(2)]	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of the LODR Regulation s)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of the LODR Regulations)

Whether Chairperson is related to managing director or CEO – Pursuant to Section 6 (1) (a) of the SIDBI Act, CMD is appointed by the Government of India. SIDBI Act, 1989 does not separate the position of CMD.

[$] PAN of any director would not be displayed on the website of Stock Exchange and hence not provided here.

[&] Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

** to be filled only for Independent Director. Tenure would mean total period from which independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.*

*** SIDBI is a High Value Debt Listed entity. For the purpose of calculating directorship under Regultion17A of SEBI LODR, directorship in SIDBI also has been considered.*

Note: As per Reg 16(1)(b) of SEBI LODR, a 'high value debt listed entity' which is a body corporate, mandated to constitute its board of directors in a specific manner in accordance with the law under which it is established, the non- executive directors on its board shall be treated as independent directors. In view of the same, the non-executive directors on the Board of SIDBI may be considered as Independent Directors.

Further as per Chapter VA (wef 28.03.2025), Regulation 62B(1)(b), "independent director" shall have the same meaning as assigned to it under clause (b) of sub-regulation (1) of regulation 16 of these regulations:

Provided that in case of a listed entity which is a body corporate, mandated to constitute its board of directors in the manner specified under the law under which it is established or is an entity set up under the public private partnership model/structure, the non- executive directors, other than a nominee director of such entity on its board of directors, shall be treated as independent directors.

In view of the above, vide letter dated November 10, 2025, SEBI has acceded to the request of SIDBI to consider all Non – Executive Directors as Independent Directors as provided under Regulation 16(1)(b) of the SEBI LODR 2015, subject to compliance with the laws prescribed for appointment and constitution of a Board in SIDBI Act.

II. Composition of Committees

Name of Committee	Whether Regular chairperson appointed	Name of Committee members	Category (Chairperson/ Executive/Non-Ex/ Independent/ Nominee)[&]	Date of Appointment	Date of Cessation
1. Audit Committee	Yes	1 Ms. Padmaja Shailen Ruparel	Chairperson / Non-Ex / Independent	28/03/2025	
		2 Shri Prakash Kumar	Executive	24/11/2023	-
		3 Dr. Rajneesh	Non-Ex / Independent / Nominee	07/08/2023	-
		4 Shri Manoj Muttathil Ayyappan	Non-Ex / Independent / Nominee	08/08/2024	-
		5 Shri Gopal Jha	Non-Ex / Independent / Nominee	23/10/2025	-
		6 Shri Laxmi Chand Meena	Non-Ex / Independent / Nominee	23/01/2025	
2. Nomination & Remuneration Committee	Yes	1 Ms. Padmaja Shailen Ruparel	Chairperson / Non-Ex / Independent	22/09/2025	-
		2 Shri Manoj Muttathil Ayyappan	Non-Ex / Independent / Nominee	13/08/2024	-
		3 Shri Jitender Kalra	Non-Ex / independent	13/08/2024	-
3. Risk Management Committee (if applicable)	Yes	1 Shri Amit Tandon	Chairperson / Non-Ex / Independent	25/10/2021	-
		2 Shri Prakash Kumar	Executive	24/11/2023	-
		3 Shri Gopal Jha	Non-Ex / Independent / Nominee	23/10/2025	-
		4 Dr K S Mahesh	Non-Ex / Independent / Nominee	05/12/2025	-
4. Stakeholders Relationship Committee	Yes	1 Shri Pallatt Joseph Thomas	Chairperson / Non-Ex / Independent	23/01/2025	-
		2 Shri Prakash Kumar	Executive	24/11/2023	-
		3 Shri Gopal Jha	Non-Ex / Independent / Nominee	23/10/2025	-
		4 Shri Laxmi Chand Meena	Non-Ex / Independent / Nominee	23/01/2025	-

[&] Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	*Date(s) of Meeting (if any) in the relevant quarter*	*Whether requirement of Quorum met**	Number of Directors present*	Number of independent directors present*	*Maximum gap between any two consecutive (in number of days)*
14/05/2026 (230th Board Meeting)	10/08/2026 (231st Board Meeting)	Yes	9	7	88

IV. Meeting of Committees					
Date(s) of meeting of the committee in the relevant quarter	*Whether requirement of Quorum met (details)**	Number of Directors present*	Number of independent directors present*	*Date(s) of meeting of the committee in the previous quarter*	*Maximum gap between any two consecutive meetings in number of days***
10/08/2026 (154th Audit Committee)	Yes	4	3	14/05/2026	88
25/09/2026 (155th Audit Committee)	Yes	4	3	14/05/2026	
04/08/2026 (89th Risk Management Committee)	Yes	4	3	19/06/2026 08/05/2026	46
Nomination and Remuneration Committee	NA	NIL	NIL	No Meeting	-
Stakeholders Relationship Committee	NA	NIL	NIL	No Meeting	-

** to be filled in only for the current quarter meetings*
*** This information has to be mandatorily given for audit committee and Risk Management Committee, for rest of the committees giving this information is optional*

V. Related Party Transactions	
Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	Yes
Whether Debenture Trustee approval obtained for material RPTs	No (the voting process is ongoing for FY 2027)
Whether shareholder approval obtained for material RPTs	Yes / At the 28th AGM held on August 24, 2026, subject to the approval of Debenture Trustee.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes, till QE June 30, 2026 have been reviewed.

	For the QE September 30, 2026 to be put up in the ensuing Audit Committee meeting.

Note: 1. *In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of LODR Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated. 2. If status is "No" details of non-compliance may be given here.*

V.a. Details of Cyber Security Incidence

Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No	
Date of the event	Not applicable	Brief details of the event	Not applicable

VI. Affirmations[1]

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulations, 2015 – Yes (Subject to SIDBI Act, 1989)
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
   a. Audit Committee – Yes
   b. Nomination & Remuneration Committee -Yes s
   c. Stakeholders Relationship Committee – Yes
   d. Risk management committee (applicable to the top 1000 listed entities / high value debt listed entity) – Yes.
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015 – Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – Yes
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here – Yes, the Corporate Governance Report for quarter ended June 30, 2026 was placed at the Board Meeting held on August 10, 2026. The Corporate Governance Report for the quarter Ending September 30, 2026 will be placed in the ensuing Board meeting.

[1] Subject to the Note given in page 3. Constitution of the Board of directors are as per SIDBI Act, 1989

Pankaj Kumar Sahu

Company Secretary & Compliance Officer

Pankaj Kumar Sahu

Digitally signed by Pankaj Kumar Sahu
Date: 2026.10.21 16:55:42 +05'30'

Note: *Information at Table I and II above need to be necessarily given in 1st quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.*